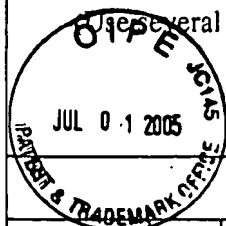


**Form PTO-1449** (modified)List of Patents and Publications  
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Disclosure Statement

(Use several sheets if necessary)

ATTY. DOCKET NO: 5681-15100

SERIAL NO: 10/618,828



APPLICANT: Kumar et al.

FILING DATE: July 14, 2003

GROUP: 2127

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/	A1	6,421,688	7/16/02	Song			
/C.Q/	A2	5,504,899	4/2/96	Raz			
/C.Q/	A3	5,504,900	4/2/96	Raz			
/C.Q/	A4	5,701,480	12/23/97	Raz			
/C.Q/	A5	6,442,618	8/27/02	Phillips et al.			
/C.Q/	A6	6,038,587	3/14/00	Phillips et al.			
/C.Q/	A7	2005/0088413	5/6/04	Bhogi et al.			
/C.Q/	A8	2004/0215473	10/28/04	Bhogi et al.			

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/C.Q/	A9	Liu et al., "Designing a Test Suite for Empirically-based Middleware Performance Prediction," Australian Computer Society, Inc., (2002), (8 Pages)					
/C.Q/	A10	"Java™ 2SDK, Enterprise Edition 1.3.1 Configuration Guide," <a href="http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html">http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html</a> , January 3, 2002, (16 Pages)					
/C.Q/	A11	Ellis et al., "JDBC™ 3.0 Specification – Final Release," Sun Microsystems, Inc., October 2001, (190 pages)					
/C.Q/	A12	"iPlanet Application Server 6.0 Administration Guide: Chapter 4 Logging Server Messages," <a href="http://docs.sun.com/source/816-5720-10/adlog.htm">http://docs.sun.com/source/816-5720-10/adlog.htm</a> , September 5, 2000, (21 Pages)					
/C.Q/	A13	"JDBC™ Data Access API – The JDBC API Universal Data Access for the Enterprise," java.sun.com, August 10, 2003, (5 Pages)					
/C.Q/	A14	"Connection Pooling," Advanced Programming for the Java 2 Platform, August 16, 2002, (4 Pages)					
/C.Q/	A15	Siva Visveswaram, "Manage Access to Shared, Server-Side Resources for High Performance - Dive Into Connection Pooling with J2EE," JavaWorld, October 2000, (8 Pages)					

EXAMINER: /Camquy Truong/

DATE CONSIDERED: 06/14/2007

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APPLICANT: Kumar et al.							
FILING DATE: July 14, 2003				GROUP: 2127			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIAL S	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/	A16	2005/0055325	3/10/05	Dutt et al.			
/C.Q/	A17	2005/0066155	3/24/05	Dutt et al.			
/C.Q/	A18	2005/0015353	1/20/05	Kumar et al.			
/C.Q/	A19	2004/0153450	8/5/04	Venugopal Rao K. et al			
/C.Q/	A20	2004/0153383	8/5/04	Venugopal Rao K. et al			
/C.Q/	A21	2004/0153349	8/5/04	Venugopal Rao K. et al			
/C.Q/	A22	2004/0215894	8/5/04	Bhogi et al.			
/C.Q/	A23	2004/0216109	10/28/04	Bhogi et al.			
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/C.Q/	A24	"Interface DataSource," DataSource (Java 2 Platform, SE v1.4.1), 2002, (1 Page)					
/C.Q/	A25	"Initial Context," JNDI 1.2.1 Specification: Class Initial Context, <a href="http://java.sun.com/products/jndi/1.2/javadoc/javax/naming/InitialContext.html">http://java.sun.com/products/jndi/1.2/javadoc/javax/naming/InitialContext.html</a> , July 14, 1999, (1 Page)					
/C.Q/	A26	"JNDI – Java™ Naming & Directory Interface™", Sun Microsystems, Inc., <a href="http://java.sun.com/jndi">http://java.sun.com/jndi</a> , May 15, 2003, (2 Pages)					
/C.Q/	A27	"iPlanet Application Server 6.0 Administration Guide: Chapter 9 Administering Transactions," <a href="http://docs.sun.com/source/816-5784-10/adtrans.htm">http://docs.sun.com/source/816-5784-10/adtrans.htm</a> , September 5, 2000, (11 Pages)					
/C.Q/	A28	Breitbart et al., "Replication and Consistency Being Lazy Helps Sometimes," PODS '97, June 1997, (Pages 173-184)					
/C.Q/	A29	Patricia Serrano-Alvarado et al. "Mobile Transaction Supports for DBMS". In 17ièmes Journées Bases de Données Avancées, Agadir, Maroc, October 2001, (19 Pages)					
/C.Q/	A30	Efficient and Flexible Methods for Transient Versioning of Records to Avoid Locking by Read-Only Transactions," 1992 ACM SIGMOD, June 1992, (Pages 124-133)					
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**U.S. PATENT DOCUMENTS**

EXAM. INITIAL S	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/	A31	6,873,995	3/29/05	Benson			
/C.Q/	A32	6,862,573	3/1/05	Kendall et al.			
/C.Q/	A33	6,854,646	2/15/05	Ieshima et al.			
/C.Q/	A34	6,832,238	12/14/04	Sharma et al.			

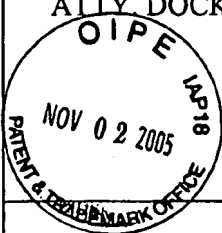
**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

/C.Q/	A35	BEA WebLogic Enterprise 5.1," BEA Systems, Inc., 2000, (13 Pages)
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/C.Q/	A37	Dogac et al., "METU Interoperable Database System," Demo Description, In Proc. Of ACM Sigmod Intl. Conf. On Management of Data, June 1996, (6 Pages)

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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/C.Q/		6,243,737	6/01	Flanagan, et al.			
/C.Q/		5,630,081	5/97	Rybicki, et al.			
/C.Q/		2002/0124083	9/02	Jeyaraman, et al.			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
/C.Q/	B1	Oracle9i JDBC Developer's Guide and Reference (March 2002, retrieved from <a href="http://www.stanford.edu/dept/itss/docs/oracle/9i/java.920/a96654/toc.htm">http://www.stanford.edu/dept/itss/docs/oracle/9i/java.920/a96654/toc.htm</a> on 9/6/05).					
/C.Q/	B2	Kannegaard (J2EE BluePrints, March 20, 2000 retrieved from <a href="http://java.sun.com/blueprints/guidelines/designing_enterprise_applications/apmTOC.html">http://java.sun.com/blueprints/guidelines/designing_enterprise_applications/apmTOC.html</a> on 9/6/05).					
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